

# Translation factors direct intrinsic ribosome dynamics during translation termination and ribosome recycling

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Characterizing the structural dynamics of the translating ribosome remains a major goal in the study of protein synthesis. Deacylation of peptidyl-tRNA during translation elongation triggers fluctuations of the pretranslocation ribosomal complex between two global conformational states. Elongation factor G-mediated control of the resulting dynamic conformational equilibrium helps to coordinate ribosome and tRNA movements during elongation and is thus a crucial mechanistic feature of translation. Beyond elongation, deacylation of peptidyl-tRNA also occurs during translation termination, and this deacylated tRNA persists during ribosome recycling. Here we report that specific regulation of the analogous conformational equilibrium by translation release and ribosome recycling factors has a critical role in the termination and recycling mechanisms. Our results support the view that specific regulation of the global state of the ribosome is a fundamental characteristic of all translation factors and a unifying theme throughout protein synthesis.

Ribosome, tRNA and translation factor structural rearrangements are hypothesized to have important mechanistic roles throughout protein synthesis. Some of the most well-characterized conformational changes of the translational machinery include the movements of tRNAs from their classical to their hybrid ribosome binding configurations, movement of the ribosomal L1 stalk from an open to a closed conformation, and the counterclockwise rotation, or ratcheting, of the small (30S) ribosomal subunit relative to the large (50S) subunit<sup>1–7</sup>. Using an L1 stalk-tRNA single-molecule Förster resonance energy transfer (smFRET<sub>L1-tRNA</sub>) signal, we recently demonstrated that stochastic movements of the L1 stalk between open and closed conformations within a pretranslocation ribosomal elongation complex are coupled to the fluctuations of P-site tRNA between classical and hybrid configurations<sup>8</sup>. Taken together with ensemble intersubunit FRET data correlating the classical and hybrid tRNA binding configurations with the nonratcheted and ratcheted conformations of the ribosomal subunits<sup>9</sup>, respectively, our smFRET<sub>L1-tRNA</sub> data suggested that the entire pretranslocation complex spontaneously and reversibly fluctuates between two major conformational states: global state 1 (GS1) and global state 2 (GS2)<sup>8</sup> (Fig. 1a).

The essential features of our dynamic model have recently been largely validated. smFRET studies of pretranslocation complexes have reported spontaneous and reversible intersubunit rotation between two major conformations, nonratcheted and ratcheted<sup>10</sup>, as well as fluctuations of the L1 stalk between open and closed conformations<sup>11</sup> (J.F and R.L.G., unpublished data). Collectively, these studies revealed that the equilibrium constants governing the nonratcheted  $\rightleftharpoons$  ratcheted ribosome and open  $\rightleftharpoons$  closed L1 stalk equilibria are closely correlated<sup>11</sup>, reinforcing the idea that these dynamic processes are coupled.

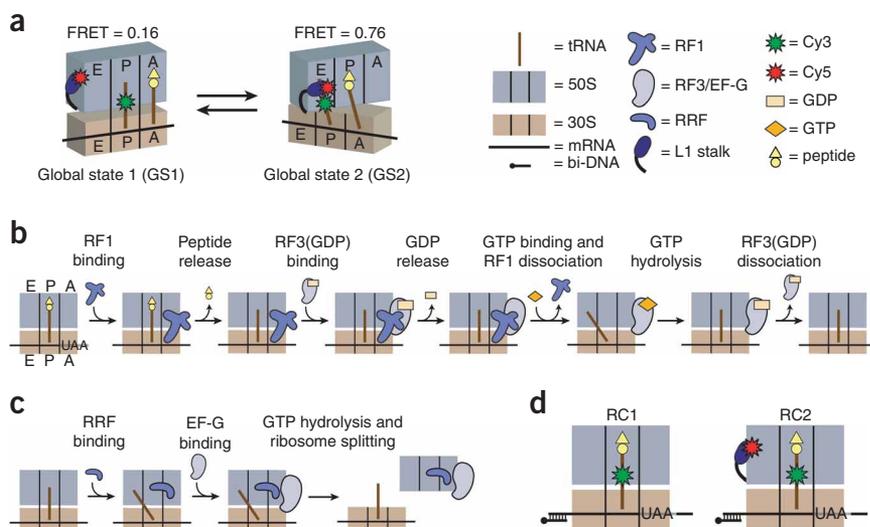
Consistent with this model, two recent cryo-EM studies used classification methods to reveal the existence of both GS1- and GS2-like conformations within a single pretranslocation sample<sup>6,7</sup>, without any detectable intermediates. Nevertheless, our GS1  $\rightleftharpoons$  GS2 model certainly does not incorporate all of the dynamic complexity encompassed by a  $\sim$ 2.5-MDa biomolecular machine. In addition, it remains entirely possible that short-lived and/or rarely sampled intermediates have so far eluded detection by smFRET experiments and cryo-EM reconstructions. Thus, the GS1  $\rightleftharpoons$  GS2 model represents the simplest dynamic model that is consistent with the available data, providing a convenient framework for investigating the dynamics of the translating ribosome.

We have previously reported that reversible transitions between GS1 and GS2 are prompted by peptidyltransfer to either an A-site aminoacyl-tRNA (aa-tRNA) or to the antibiotic puromycin<sup>8</sup>. Puromycin mimics the 3'-terminal residue of aa-tRNA<sup>12</sup> but, unlike a fully intact aa-tRNA, dissociates rapidly from the A site upon peptidyltransfer. Therefore, deacylation of P-site peptidyl-tRNA alone, regardless of A-site occupancy, is necessary and sufficient to trigger GS1  $\rightleftharpoons$  GS2 fluctuations. Binding of the GTPase ribosomal translocase, elongation factor G (EF-G), stabilizes GS2 (refs. 8,10), helping to control the directionality of tRNA movements during translocation. Thus, precise regulation of the GS1  $\rightleftharpoons$  GS2 equilibrium by EF-G is a fundamental feature of translation elongation.

Beyond elongation, a deacylated tRNA also occupies the P site during translation termination and ribosome recycling, raising the possibility that regulation of the GS1  $\rightleftharpoons$  GS2 equilibrium may be mechanistically important throughout these additional stages of protein synthesis. During termination, a stop codon in the A site of

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Received 10 February; accepted 21 May; published online 13 July 2009; doi:10.1038/nsmb.1622



**Figure 1** Experimental model and reaction schemes for termination and recycling. **(a)** Our previously described smFRET signal between P-site (Cy3)tRNA<sup>Phe</sup> and L1(Cy5) demonstrated that, during elongation, ribosomes exist in two global conformations: GS1, encompassing a nonratcheted ribosome, an open L1 stalk and tRNAs bound in classical configurations; and GS2, encompassing a ratcheted ribosome, a closed L1 stalk and tRNAs bound in hybrid configurations<sup>8</sup>. FRET values for GS1 and GS2 under our current conditions are shown. **(b)** Mechanistic model for termination. See text for details. **(c)** Mechanistic model for ribosome recycling. Details regarding the nucleotide binding mode of EF-G, as well as the timing of GTP hydrolysis, are not fully understood and have been omitted for clarity. Later steps of recycling involving IF3 are not depicted. **(d)** Cartoon diagrams of release complexes RC1 and RC2 used in this study.

a ribosomal release complex (RC) promotes binding of a class I release factor (RF1 or RF2), which catalyzes hydrolysis of the nascent polypeptide, thereby deacylating the P-site peptidyl-tRNA. RF1/2 remains tightly bound to the post-hydrolysis RC, and a class II release factor (RF3, a GTPase) is required to catalyze RF1/2 dissociation<sup>13</sup>. RF3(GDP) binds to the RF1/2-bound RC, and rapid dissociation of GDP yields a high-affinity complex between nucleotide-free RF3 and the RF1/2-bound RC<sup>14</sup>. Binding of GTP to RF3 then catalyzes RF1/2 dissociation, and subsequent GTP hydrolysis leads to RF3(GDP) dissociation<sup>14</sup>, yielding a ribosomal post-termination complex (PoTC) (Fig. 1b). During ribosome recycling, the PoTC is initially recognized by ribosome recycling factor (RRF) and dissociated into its component 30S and 50S subunits by the combined action of RRF and EF-G in a GTP-dependent reaction<sup>15–17</sup> (Fig. 1c).

Recent cryo-EM studies suggest that ribosome and tRNA structural rearrangements analogous to those observed in pretranslocation complexes (that is, between GS1 and GS2) occur during both termination and ribosome recycling<sup>3,4,18–20</sup>, but the GS1 ↔ GS2 dynamics of the post-hydrolysis RC and PoTC have not been directly investigated. Notably, the continuous presence of a deacylated P-site tRNA throughout termination and ribosome recycling strongly suggests that these ribosomal complexes possess the intrinsic capability to undergo spontaneous GS1 ↔ GS2 fluctuations<sup>8</sup>. Thus, determining how the GS1 ↔ GS2 equilibrium is coupled to the activities of release and ribosome recycling factors will greatly expand our understanding of the roles that conformational dynamics of the translational machinery have in the termination and ribosome recycling mechanisms. Here, using a new RF1-tRNA smFRET (smFRET<sub>RF1-tRNA</sub>) signal, as well as our previously characterized smFRET<sub>L1-tRNA</sub> signal (Fig. 1d), we directly investigate how RF1, RF3 and RRF influence and regulate the GS1 ↔ GS2 equilibrium within the *Escherichia coli* ribosome. Together with our previous studies on elongation<sup>8</sup>, the results presented here support the view that manipulation of the global state of the ribosome is a fundamental mechanistic feature of all translation factors and a regulatory strategy that is used throughout protein synthesis.

## RESULTS

### Fluorescent labeling of RF1 and RCs

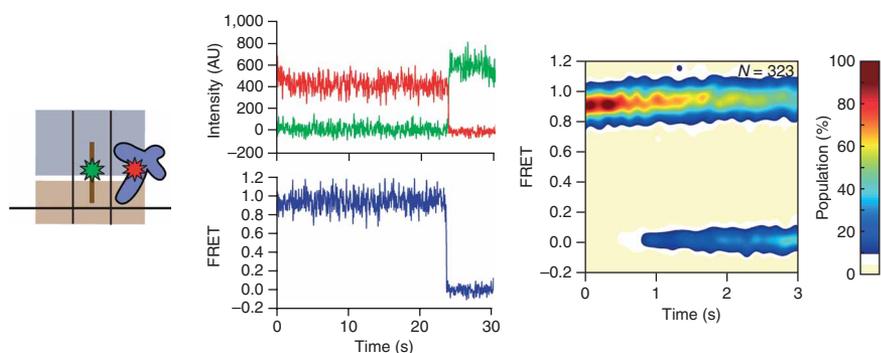
We prepared L1(Cy5) ribosomes and Phe-(Cy3)tRNA<sup>Phe</sup> as described<sup>8</sup>. We prepared fluorescent release factor by mutagenizing *E. coli* RF1 to contain a single cysteine at position 167 within domain 2. Previous

work has shown that this mutant RF1 demonstrates peptide release activity comparable to that of wild-type RF1 (ref. 21). Purified single-cysteine RF1 was reacted with Cy5-maleimide and separated from unreacted dye by gel filtration; further separation of unlabeled RF1 using hydrophobic interaction chromatography generated 100% homogeneously labeled RF1(Cy5) (Supplementary Fig. 1). Using a standard peptide release assay, we showed that RF1(Cy5) demonstrates stop codon-dependent peptide release activity that is indistinguishable from that of wild-type RF1 (Supplementary Fig. 2 and Supplementary Methods).

Using these fluorescent reagents in our highly purified *in vitro* translation system, we enzymatically prepared two RCs, RC1 and RC2 (Fig. 1d). RC1 comprises a wild-type ribosome, 5'-biotinylated mRNA, fMet-Phe-(Cy3)tRNA<sup>Phe</sup> in the P site, and an empty A site programmed with a UAA stop codon. We prepared RC2 identically to RC1, with the exception that L1(Cy5) ribosomes were used in place of wild-type ribosomes. For further details regarding the preparation and biochemical testing of translation components, see Online Methods and Supplementary Methods.

### RF1 blocks GS1 → GS2 transitions within a post-hydrolysis RC

RCs assembled on a biotinylated mRNA were immobilized on the surface of a streptavidin-derivatized quartz microfluidic flow cell and visualized with single-molecule resolution using a total internal reflection fluorescence microscope (see Online Methods for further details). Incubation of surface-immobilized RC1 with 5 nM RF1(Cy5) generated steady-state smFRET versus time trajectories that sample a single FRET state centered at  $0.94 \pm 0.01$  FRET (Fig. 2). Although our smFRET studies focus exclusively on relative distance changes, it is noteworthy that the observed 0.94 FRET value, corresponding to a distance of  $\sim 32\text{--}38$  Å (assuming  $R_0 = 50\text{--}60$  Å<sup>22,23</sup>), is in reasonable agreement with the distance of  $\sim 23$  Å measured between the points of attachment of our fluorophores in an X-ray crystal structure of RF1 bound to an RC<sup>24</sup>. As a control, we incubated 5 nM RF1(Cy5) with an analogous complex containing a sense codon (AAA) at the A site, yielding no detectable smFRET signal (data not shown). Inspection of individual trajectories (Fig. 2) reveals that the 0.94 FRET state is long-lived, with a lifetime limited only by fluorophore photobleaching (Supplementary Fig. 3). This observation demonstrates that RF1 remains stably bound to RC1 after hydrolysis, consistent with previous biochemical studies<sup>25</sup>. Unexpectedly, the 0.94 FRET state undergoes no



**Figure 2** RF1 binds stably to a release complex and prevents tRNA fluctuations. RC1 in the presence of 5 nM RF1(Cy5). A cartoon representation of RF1(Cy5) bound to RC1 is shown as in **Figure 1** (left). Representative Cy3 and Cy5 emission intensities (in arbitrary units (AU)) are shown in green and red, respectively (above middle). The corresponding smFRET trace,  $I_{\text{Cy5}}/(I_{\text{Cy3}}+I_{\text{Cy5}})$ , is shown in blue (below middle). Contour plots of the time evolution of population FRET (right) are generated by superimposing the first 3 s of individual smFRET time trajectories, binning the data into 20 FRET bins and 30 time bins, normalizing the resulting data to the most populated bin in the plot, and scaling the z axis as shown in the color bar.  $N$  indicates the number of traces making up the contour plot.

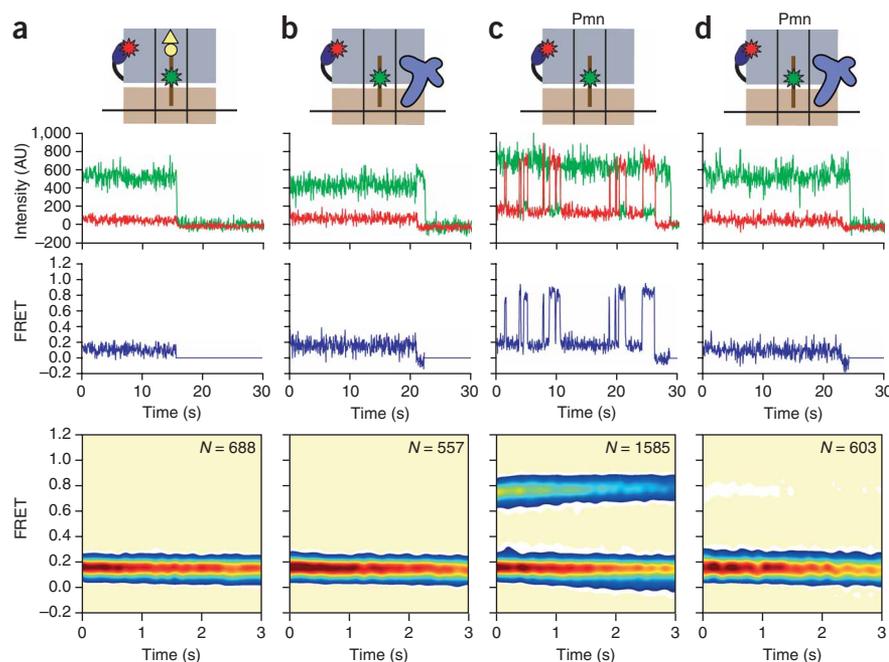
detectable fluctuations to additional FRET states within our time resolution (20 frames per second). Given the large displacement ( $\sim 40 \text{ \AA}$ ) of the central-fold domain, or elbow, of the P-site tRNA during the classical  $\rightarrow$  hybrid transition<sup>6</sup>, this suggests that deacylation of P-site tRNA by RF1 does not result in tRNA movements between the classical and hybrid binding configurations, a finding that would stand in stark contrast to the analogous situation in a pretranslocation elongation complex<sup>26–28</sup>. Nevertheless, we could not immediately exclude the possibility that tRNA movements might not result in an observable FRET change.

Therefore, to directly investigate the effect of RF1 on conformational dynamics of both the P-site tRNA and the L1 stalk, we next monitored the  $\text{GS1} \rightleftharpoons \text{GS2}$  equilibrium using the smFRET<sub>L1-tRNA</sub> signal within RC2. Before RF1-catalyzed peptide release, most RC2 trajectories ( $\sim 87\%$ ) sample a single FRET state centered at  $0.16 \pm 0.01$  FRET (**Fig. 3a**), consistent with our previous characterization of post-translocation elongation complexes<sup>8</sup>, which also carry a peptidyl-tRNA at the P site and an empty A site. Of the remaining trajectories, 1% sample a single FRET state centered at  $0.76 \pm 0.01$  FRET, reporting on GS2, and 12% show fluctuations between 0.16 FRET and 0.76 FRET; these latter two subpopulations probably represent ribosomes whose P-site peptidyl-tRNA was prematurely deacylated during RC2 preparation, thereby enabling transitions to GS2. The absolute smFRET<sub>L1-tRNA</sub> values reported in this work are slightly lower than those reported previously<sup>8</sup>, owing to the use of fluorescence emission filters with slightly different transmission efficiencies.

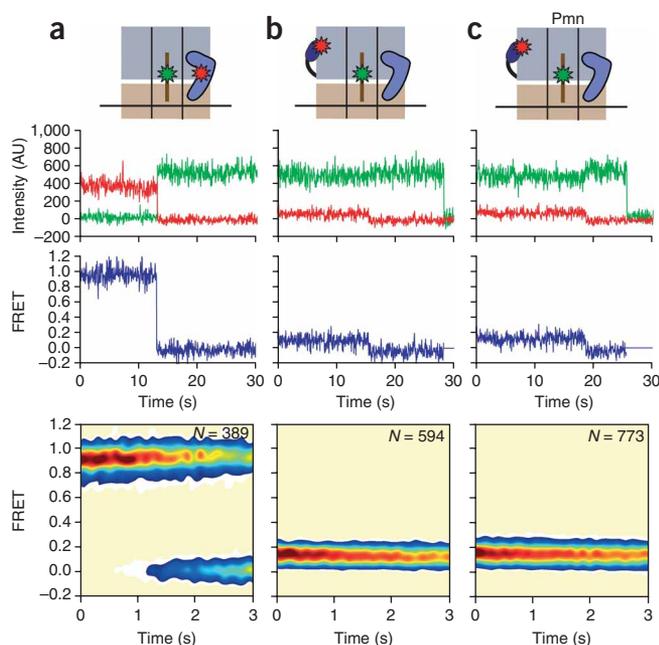
Addition of  $1 \mu\text{M}$  RF1 to surface-immobilized RC2 generates no substantial change

in the smFRET<sub>L1-tRNA</sub> signal (**Fig. 3b**), clearly demonstrating that RF1-catalyzed deacylation of P-site peptidyl-tRNA via hydrolysis does not result in  $\text{GS1} \rightarrow \text{GS2}$  transitions, in good agreement with our smFRET<sub>RF1-tRNA</sub> data. We further observed a slight shift in the relative occupancies of smFRET subpopulations, such that 98% of the trajectories now sample stable 0.16 FRET, compared to 87% in the absence of RF1 (the remaining 2% of trajectories show rare fluctuations to 0.76 FRET). Thus, even fluctuating smFRET trajectories resulting from the premature deacylation of peptidyl-tRNA during RC2 preparation were converted to stable 0.16 smFRET trajectories in the presence of RF1. This result suggests that RF1 binding alone can block  $\text{GS1} \rightarrow \text{GS2}$  transitions independently of the actual deacylation event.

To confirm these results, we next deacylated peptidyl-tRNA by pre-treating RC2 with puromycin ( $\text{RC2}_{\text{Pmn}}$ ). As expected from our previous elongation studies, most  $\text{RC2}_{\text{Pmn}}$  trajectories ( $\sim 63\%$ ) fluctuate between 0.16 and 0.76 FRET (**Fig. 3c**), reporting on spontaneous and reversible transitions between GS1 and GS2. The remaining trajectories either



**Figure 3** RF1 blocks  $\text{GS1} \rightarrow \text{GS2}$  transitions and stabilizes GS1. (a) RC2. Lowered FRET values for GS1 and GS2 in this work obscure the previously described broadened width of the FRET distribution caused by conformational heterogeneity of the L1 stalk in a post-translocation complex<sup>8,11</sup>. (b) RC2 in the presence of  $1 \mu\text{M}$  RF1. (c) In puromycin (Pmn)-treated RC2 ( $\text{RC2}_{\text{Pmn}}$ ) samples, 63% of smFRET versus time trajectories fluctuate between 0.16 and 0.76 FRET; 24% remain stably centered at 0.16 FRET, probably representing RCs that either failed to undergo the puromycin reaction or photobleached before undergoing the first  $\text{GS1} \rightarrow \text{GS2}$  transition; and 13% sample only 0.76 FRET, probably representing RCs whose fluorophore(s) photobleached before undergoing a  $\text{GS2} \rightarrow \text{GS1}$  transition. (d) In the presence of  $1 \mu\text{M}$  RF1, 85% of  $\text{RC2}_{\text{Pmn}}$  trajectories remain stably centered at 0.16 FRET, corresponding to RF1-bound RCs; 9% fluctuate between 0.16 and 0.76 FRET, probably representing RCs to which RF1 did not bind or dissociated from transiently; 6% sample only 0.76 FRET, probably representing RCs to which RF1 did not bind and which failed to undergo a  $\text{GS2} \rightarrow \text{GS1}$  transition before fluorophore photobleaching. Data in all panels are displayed as in **Figure 2**.



**Figure 4** RF1 domain 1 is dispensable for RF1-mediated blocking of GS1  $\rightarrow$  GS2 transitions. (a) RC1 in the presence of 5 nM RF1 $\Delta$ d1(Cy5). (b) In the presence of 1  $\mu$ M RF1 $\Delta$ d1, 94% of RC2 trajectories remain stably centered at 0.16 FRET; 6% fluctuate between 0.16 and 0.76 FRET. (c) In the presence of 1  $\mu$ M RF1 $\Delta$ d1, 86% of RC2<sub>Pmn</sub> trajectories remain stably centered at 0.16 FRET; 10% fluctuate between 0.16 and 0.76 FRET; 4% sample only 0.76 FRET. Data in all panels are displayed as in **Figure 2**.

failed to undergo the puromycin reaction or showed fluorophore photobleaching directly from 0.16 FRET or 0.76 FRET before undergoing a fluctuation (see figure legends for further subpopulation analyses); the latter observation indicates that GS1  $\rightarrow$  GS2 and GS2  $\rightarrow$  GS1 transition rates extracted from dwell-time analysis of these data will be slightly overestimated, owing to the premature truncation of the trajectories. After correcting for photobleaching kinetics and the finite experimental observation time<sup>29</sup> (**Supplementary Methods**), dwell-time analysis of RC2<sub>Pmn</sub> data yielded GS1  $\rightarrow$  GS2 and GS2  $\rightarrow$  GS1 transition rates ( $k_{GS1 \rightarrow GS2}$  and  $k_{GS2 \rightarrow GS1}$ ) of  $0.52 \pm 0.03 \text{ s}^{-1}$  and  $1.36 \pm 0.03 \text{ s}^{-1}$ , respectively (see Online Methods and **Supplementary Fig. 4**), comparable to those measured previously with similarly prepared pretranslocation elongation complexes<sup>8</sup>.

Addition of 1  $\mu$ M RF1 to surface-immobilized RC2<sub>Pmn</sub> strongly perturbs the GS1  $\rightleftharpoons$  GS2 equilibrium (**Fig. 3d**), such that most (85%) of the trajectories now show stable 0.16 FRET. Indeed, addition of RF1 to RC2<sub>Pmn</sub> generates an smFRET<sub>L1-tRNA</sub> signal that is virtually indistinguishable from that observed for non-puromycin-reacted RC2 in the absence or presence of RF1 (compare **Fig. 3d** to **Fig. 3a,b**). In all three cases, measurement of the actual lifetime spent in the 0.16 FRET state is limited by fluorophore photobleaching, demonstrating that intermolecular RF1-ribosome and/or intramolecular ribosome-ribosome interactions, established upon tight binding of RF1 to the post-hydrolysis RC, block the GS1  $\rightarrow$  GS2 transitions that would otherwise occur spontaneously in a ribosomal complex carrying a deacylated tRNA at the P site. Thus, whereas deacylation of P-site tRNA via peptidyltransfer to A-site aa-tRNA shifts the GS1  $\rightleftharpoons$  GS2 equilibrium toward GS2 in anticipation of EF-G<sup>8</sup>, deacylation of P-site tRNA via RF1-mediated hydrolysis locks the RC in GS1 in anticipation of RF3.

### RF1 domain 1 is expendable for blocking GS1 $\rightarrow$ GS2 transitions

RF1 domains 2–4 occupy the A site in a manner that is spatially analogous to a classically bound tRNA<sup>18,24,30</sup>, whereas domain 1 protrudes out of the A site, spanning the gap between the ‘beak’ domain of the 30S subunit and the L11 region of the 50S subunit<sup>24</sup>. Because direct contacts between RF1 domain 1 and both the 30S and 50S subunit have been observed crystallographically<sup>24,30</sup>, we wondered whether domain 1–ribosome interactions might provide the molecular basis for RF1’s ability to block GS1  $\rightarrow$  GS2 transitions. To test this, we prepared an RF1 domain 1 deletion mutant (RF1 $\Delta$ d1) as described<sup>31</sup> and generated RF1 $\Delta$ d1(Cy5) in the same way as full-length RF1(Cy5). Biochemical testing confirms that RF1 $\Delta$ d1 and RF1 $\Delta$ d1(Cy5) can catalyze stop codon-dependent peptide release, albeit with slightly lower activity relative to full-length RF1, as previously reported<sup>31</sup> (**Supplementary Fig. 2** and **Supplementary Methods**).

**Figure 4** reports the results of RC1, RC2 and RC2<sub>Pmn</sub> experiments analogous to those reported in **Figures 2** and **3**, but with RF1 $\Delta$ d1 in place of full-length RF1. The results are virtually indistinguishable. The smFRET<sub>RF1 $\Delta$ d1-tRNA</sub> signal, centered at  $0.93 \pm 0.02$  FRET, is stable and shows no evidence of fluctuations (**Fig. 4a**), suggesting that, analogously to full-length RF1, RF1 $\Delta$ d1 remains stably bound to the post-hydrolysis RC and prevents tRNA movements. As expected from this result, we find that, despite the absence of domain 1–ribosome interactions, RF1 $\Delta$ d1 blocks GS1  $\rightarrow$  GS2 transitions upon hydrolysis (**Fig. 4b**), independently of the origin of the deacylation event (**Fig. 4c**). Thus, our data clearly demonstrate that RF1’s ability to lock the RC in GS1 does not require domain 1.

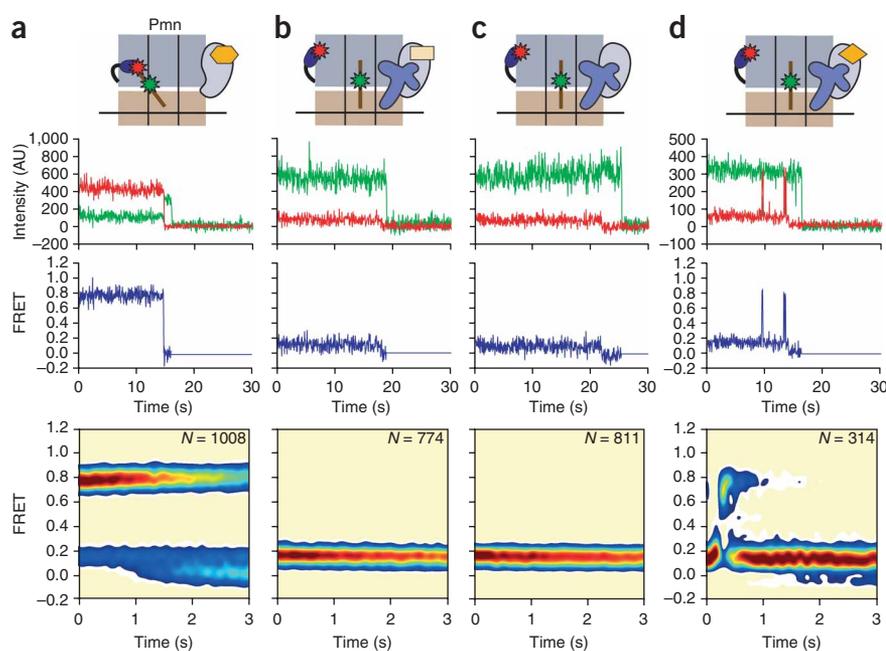
### GTP binding to RC-bound RF3 triggers the GS1 $\rightarrow$ GS2 transition

Our RF1 studies demonstrate that the target for RF3-mediated RF1 dissociation is a post-hydrolysis, RF1-bound RC locked in GS1. However, a recent cryo-EM study reported the GS2-like conformation of a puromycin-reacted RC bound to RF3(GDPNP, a nonhydrolyzable GTP analog)<sup>4</sup>; this structure provides a snapshot of the post-hydrolysis RC after RF1 dissociation but before GTP hydrolysis by RF3. To investigate the dynamics of an analogously prepared RC, after confirming that our purified RF3 possessed the previously described guanine nucleotide-dependent biochemical activity<sup>14</sup> (**Supplementary Fig. 5**), we performed an experiment in which we added 1  $\mu$ M RF3(GDPNP) to surface-immobilized RC2<sub>Pmn</sub>. The resulting data reveal that RF3(GDPNP) substantially alters the relative occupancies of subpopulations observed with RC2<sub>Pmn</sub>, such that most (73%) smFRET trajectories now remain stably centered at 0.76 FRET (**Fig. 5a**). Thus, in complete opposition to RF1, the GTP-bound form of RF3 locks a post-hydrolysis RC into GS2. Dwell-time analysis of the fluctuating subpopulation of smFRET trajectories (22%) revealed kinetics that were consistent with those of isolated RC2<sub>Pmn</sub>, suggesting that this subpopulation of RC2<sub>Pmn</sub> simply did not bind RF3(GDPNP) under our conditions. Consistent with this interpretation, increasing the RF3(GDPNP) concentration causes a decrease in the occupancy of the fluctuating subpopulation, whereas  $k_{GS1 \rightarrow GS2}$  and  $k_{GS2 \rightarrow GS1}$  remain relatively constant (**Supplementary Fig. 6**).

The smFRET data suggest that the GS1  $\rightarrow$  GS2 transition occurs at some point during or after binding of RF3(GDP) to the post-hydrolysis, RF1-bound RC but before hydrolysis of GTP by RF3. We sought to further pinpoint the GS1  $\rightarrow$  GS2 transition within the termination pathway by performing a set of steady-state smFRET experiments in which we initially incubated surface-immobilized RC2 with 1  $\mu$ M RF1 to generate RC2<sub>RF1</sub>, and subsequently incubated

**Figure 5** RF3(GDP) interacts with an RF1-bound RC locked in GS1, and binding of GTP to RC-bound RF3 enables the GS1 → GS2 transition.

(a) In the presence of 1 μM RF3(GDPNP) (GDPNP is denoted as an orange hexagon), 73% of RC<sub>2Pmn</sub> trajectories remain stably centered at 0.76 FRET, corresponding to RF3(GDPNP)-bound RCs; 5% sample only 0.16 FRET; 22% fluctuate between 0.16 and 0.76 FRET. The last two subpopulations probably represent RCs that either failed to undergo the puromycin reaction or were photobleached before undergoing the first GS1 → GS2 transition, or that did not bind RF3(GDPNP) and therefore spontaneously fluctuated between GS1 and GS2. This conclusion is supported by results from an RF3(GDPNP) titration (Supplementary Fig. 6). (b) In the presence of 1 μM RF1 and RF3(GDP), 98% of RC<sub>2RF1</sub> trajectories remain stably centered at 0.16 FRET; 2% fluctuate between 0.16 and 0.76 FRET. (c) In the presence of 1 μM RF1 and nucleotide-free RF3, 99% of RC<sub>2RF1</sub> trajectories remain stably centered at 0.16 FRET; 1% fluctuate between 0.16 and 0.76 FRET. (d) RC<sub>2RF1</sub> in the presence of 1 μM RF1, RF3(GDP) and 1 mM GTP. Only those trajectories exhibiting fluctuations between GS1 and GS2 (42%) make up the time-synchronized contour plot (below), generated by post-synchronizing the onset of the first GS1 → GS2 event in each trajectory to time = 0.5 s. The remaining 58% of trajectories remain stably centered at 0.16 FRET. Data in all panels are displayed as in Figure 2.



RC<sub>2RF1</sub> with 1 μM RF3 in the absence or presence of the specified guanine nucleotide and 1 μM RF1. Biochemical data provide evidence that nucleotide-free RF3 forms a high-affinity complex with the RF1-bound RC<sup>14</sup> (Supplementary Fig. 5), and so we first tested whether binding of RF3(GDP) or nucleotide-free RF3 to RC<sub>2RF1</sub> could activate the GS1 → GS2 transition. Both of these experiments generate steady-state smFRET data that are indistinguishable from data collected with RC<sub>2RF1</sub> alone (compare Fig. 5b,c with Fig. 3b), suggesting that neither binding of RF3(GDP) to RC<sub>2RF1</sub>, RC<sub>2RF1</sub>-catalyzed exchange of GDP for exogenous GDP on RF3, nor the nucleotide-free RF3 intermediate elicit or involve the GS1 → GS2 transition.

We next tested the addition of RF3(GDP) to surface-immobilized RC<sub>2RF1</sub> in the presence of a mixture of 10 μM GDP and 1 mM GTP. Because it was performed with saturating 1 μM RF1, this experiment was expected to yield a continuously recycling termination reaction (Fig. 1b), in which binding of GTP to RC-bound RF3 catalyzes RF1 dissociation and subsequent GTP hydrolysis leads to RF3(GDP) dissociation<sup>14</sup>, thereby enabling RF1 rebinding and a new round of RF3-mediated RF1 dissociation. Notably, individual smFRET trajectories show clear evidence of one or more excursions to GS2 (Fig. 5d), demonstrating that the GS1 → GS2 transition occurs exclusively upon binding of GTP to RC-bound RF3. Transitions to GS2 are exceptionally short-lived, indicating the possibility of missing events (that is, excursions to GS2 that are much shorter than our time resolution). Thus, dwell-time analysis provides a lower limit of  $k_{GS2 \rightarrow GS1} \geq 3.7 \pm 0.6 \text{ s}^{-1}$  (Supplementary Fig. 7), which is almost three-fold faster than  $k_{GS2 \rightarrow GS1}$  for RC<sub>2Pmn</sub> in the absence of RF1, RF3 and guanine nucleotide ( $1.36 \pm 0.03 \text{ s}^{-1}$ ). This result suggests that hydrolysis of GTP, dissociation of RF3(GDP) and/or rebinding of RF1 may modestly promote the GS2 → GS1 transition.

#### RRF fine-tunes the GS1 ↔ GS2 equilibrium within a PoTC

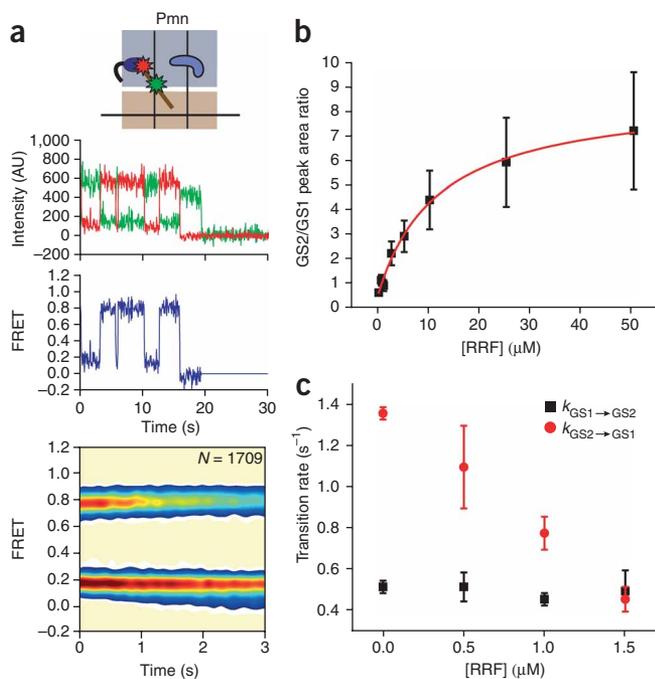
Previous biochemical characterization has demonstrated that puromycin-reacted RCs yield PoTCs that serve as natural substrates for

RRF- and EF-G-catalyzed ribosome recycling<sup>32</sup>. Thus, after confirming the activity of our purified RRF in a standard ribosome splitting assay (Supplementary Fig. 8), we used RC<sub>2Pmn</sub> as a model PoTC with which to investigate the effect of RRF on the GS1 ↔ GS2 equilibrium. Contrary to RF1 and RF3, we find that RRF has only modest effects on the GS1 ↔ GS2 equilibrium, slightly stabilizing GS2 (Fig. 6a); even with a large excess of RRF over surface-immobilized RC<sub>2Pmn</sub>, the relative occupancies of smFRET subpopulations remain almost unchanged relative to RC<sub>2Pmn</sub>. As we increased the RRF concentration, the dwell time spent in the 0.76 FRET state was extended, ultimately leading to a decrease in the occupancy of the fluctuating subpopulation and an increase in occupancy of the stable 0.76 FRET subpopulation as the rate of photobleaching from the 0.76 FRET state began to limit observations of fluctuations to 0.16 FRET. However, even at concentrations as high as 50 μM RRF, individual smFRET trajectories still show rare fluctuations to 0.16 FRET.

To more quantitatively probe the subtle effect of RRF on the GS1 ↔ GS2 equilibrium, we plotted one-dimensional smFRET histograms of the entire population of trajectories as a function of RRF concentration (Supplementary Fig. 9). The areas under the peaks centered at 0.16 FRET and 0.76 FRET report on the equilibrium populations of GS1 and GS2, respectively. From these plots, it is evident that the GS1 population decreases whereas the GS2 population increases, as a function of increasing RRF concentration. Assuming that RRF can bind to both GS1 and GS2, a plot of the GS2/GS1 peak area ratio ( $K_{eq}$ ) versus RRF concentration can be fit by the following binding isotherm to determine the equilibrium dissociation constants for RRF binding to GS1 ( $K_{d,GS1}$ ) and GS2 ( $K_{d,GS2}$ )<sup>33</sup>:

$$\frac{\text{GS2 peak area}}{\text{GS1 peak area}} = C \left[ 1 + \frac{[\text{RRF}]}{K_{d,GS1}} \right] / \left[ 1 + \frac{[\text{RRF}]}{K_{d,GS2}} \right]$$

where  $C$  is the GS2/GS1 peak area ratio in the absence of RRF. This analysis yields a  $K_{d,GS2}$  of  $0.9 \pm 0.3 \text{ μM}$  and a  $K_{d,GS1}$  of  $12 \pm 2 \text{ μM}$  (Fig. 6b), revealing that RRF binds GS2 an order of magnitude more



**Figure 6** RRF preferentially binds GS2 and competes with GS2  $\rightarrow$  GS1 transitions within a fluctuating post-termination complex. **(a)** In the presence of 1  $\mu$ M RRF, 70% of RC<sub>2P<sub>m</sub>n</sub> trajectories fluctuate between 0.16 and 0.76 FRET; 23% remain stably centered at 0.76 FRET; 7% sample only 0.16 FRET. For RC<sub>2P<sub>m</sub>n</sub> data analyzed similarly, 76% fluctuate between 0.16 and 0.76 FRET, 18% remain stably centered at 0.76 FRET; 6% sample only 0.16 FRET. The slight differences between these RC<sub>2P<sub>m</sub>n</sub> subpopulations and those reported in **Figure 3c** arise from automated, rather than manual, detection of strongly anti-correlated Cy3 and Cy5 intensity traces (**Supplementary Methods**). Data are displayed as in **Figure 2**. **(b)** GS2/GS1 peak area ratio ( $K_{eq}$ ) as a function of RRF concentration. The data were fit according to the equation in the text (red line), yielding the following parameters:  $C = 0.6 \pm 0.1$ ,  $K_{d,GS1} = 12 \pm 2 \mu$ M and  $K_{d,GS2} = 0.9 \pm 0.3 \mu$ M ( $R^2 = 0.99$ ). **(c)**  $k_{GS1 \rightarrow GS2}$  and  $k_{GS2 \rightarrow GS1}$  as a function of RRF concentration. Error bars represent the s.d. from at least three independent experiments.

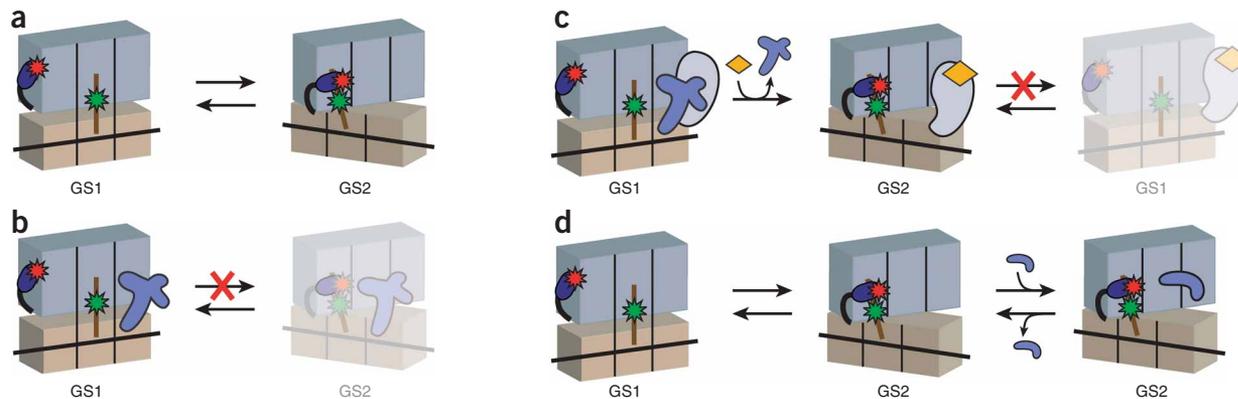
tightly than GS1. This result is supported by structural studies describing a clear steric clash between the binding positions of RRF and the aminoacyl end of classically bound tRNA at the P site within GS1 (refs. 3,20,34–37). The  $K_d$  of RRF binding to vacant ribosomes (that is, in the absence of mRNA or tRNAs) is in the range of 0.2–0.6  $\mu$ M<sup>38–40</sup>, in good agreement with the  $K_d$  we report for GS2, further indicating that RRF may interact with the GS2 conformation of the PoTC in much the same way as it does with a vacant ribosome.

To explore the kinetic basis for the RRF-mediated change in the GS1  $\rightleftharpoons$  GS2 equilibrium, we determined  $k_{GS1 \rightarrow GS2}$  and  $k_{GS2 \rightarrow GS1}$  in a low RRF concentration range (0–1.5  $\mu$ M), where substantial corrections for premature truncation of the trajectories due to photobleaching are not necessary. Analysis of these data clearly shows that, at RRF

concentrations near  $K_{d,GS2}$ ,  $k_{GS1 \rightarrow GS2}$  remains unchanged whereas  $k_{GS2 \rightarrow GS1}$  decreases linearly (**Fig. 6c**). The observation that  $k_{GS1 \rightarrow GS2}$  remains unchanged is consistent with the low affinity of RRF for GS1 and reveals that, at low RRF concentrations, RRF neither induces nor inhibits the GS1  $\rightarrow$  GS2 transition. Instead, RRF seems to depend on a spontaneous GS1  $\rightarrow$  GS2 transition for access to the GS2 conformation of the PoTC. The decrease in  $k_{GS2 \rightarrow GS1}$  within the same RRF concentration range demonstrates that RRF binds to GS2 and inhibits GS2  $\rightarrow$  GS1 transitions. The simplest model consistent with all of the data is that, at RRF concentrations near  $K_{d,GS2}$ , RRF rapidly binds to and dissociates from GS2, and binding directly competes with the GS2  $\rightarrow$  GS1 transition. As the concentration of RRF increases, repeated RRF binding events begin to out-compete the GS2  $\rightarrow$  GS1 transition, thus leading to the observed steady decrease in  $k_{GS2 \rightarrow GS1}$ .

Given a  $K_{d,GS1}$  of  $12 \pm 2 \mu$ M, it is not surprising that no appreciable effect is seen in  $k_{GS1 \rightarrow GS2}$  at RRF concentrations of 0–1.5  $\mu$ M. To assess whether RRF might affect  $k_{GS1 \rightarrow GS2}$  at higher concentrations, we analyzed the 50  $\mu$ M RRF data set for rare excursions to GS1. Dwell-time analysis of these rare events revealed that, at 50  $\mu$ M RRF,  $k_{GS1 \rightarrow GS2}$  is 1.6-fold faster than  $k_{GS1 \rightarrow GS2}$  for free RC<sub>2P<sub>m</sub>n</sub> ( $0.83 \pm 0.06 \text{ s}^{-1}$  versus  $0.52 \pm 0.03 \text{ s}^{-1}$ , respectively). Thus, at high enough

mpg



**Figure 7** Mechanistic model for regulation of the GS1  $\rightleftharpoons$  GS2 dynamic equilibrium by RF1, RF3 and RRF. **(a)** A post-hydrolysis RC fluctuates stochastically between GS1 and GS2. **(b)** RF1 specifically binds GS1 and prevents GS1  $\rightarrow$  GS2 transitions of the RC, even after deacylation of P-site tRNA. **(c)** RF3(GDP) initially interacts with an RF1-bound RC locked in GS1. GS1  $\rightarrow$  GS2 transitions are suppressed until nucleotide-free RF3 binds GTP, which stabilizes the RC in GS2 and prevents GS2  $\rightarrow$  GS1 transitions before GTP hydrolysis. **(d)** A post-termination complex, the natural substrate for RRF, fluctuates stochastically between GS1 and GS2. At concentrations near  $K_{d,GS2}$ , RRF preferentially binds GS2 and competes directly with the GS2  $\rightarrow$  GS1 transition. At high RRF concentrations above  $K_{d,GS1}$ , RRF can also bind GS1 and actively promote the GS1  $\rightarrow$  GS2 transition (not shown). Cartoon representations are shown as in **Figure 1**.

concentrations, where substantial binding to GS1 becomes possible, RRF modestly promotes the GS1 → GS2 transition through a yet to be determined mechanism. Collectively, our results demonstrate that the population of PoTCs found in the GS2 conformation can be tuned by regulating RRF concentration.

## DISCUSSION

The tight binding of RF1 to a post-hydrolysis RC establishes intermolecular RF1-ribosome, and possibly intramolecular ribosome-ribosome interactions, which block GS1 ⇌ GS2 fluctuations that are otherwise intrinsic to ribosomal complexes carrying a deacylated P-site tRNA (Fig. 7a,b). Thus, without making extensive interactions with the P-site tRNA or any contacts with the L1 stalk, RF1 successfully blocks movements of P-site tRNA from the classical to the hybrid configuration, movements of the L1 stalk from the open to the closed conformation and, presumably, the accompanying intersubunit ratcheting of the ribosome. This observation highlights the tight coupling between ribosome and tRNA dynamics and reveals that the interaction of translation factors with the ribosome can allosterically and specifically regulate the global state of the ribosome.

The finding that RF1Δd1 is indistinguishable from full-length RF1 in its ability to block GS1 → GS2 transitions demonstrates that any potential intersubunit interactions mediated by domain 1 are not essential for blocking GS1 → GS2 transitions. Thus, the mechanism through which RF1 blocks GS1 → GS2 transitions remains uncharacterized. One attractive possibility is through the base-stacking interaction between A1913 from helix 69 of the 23S rRNA and A1493 from helix 44 of the 16S rRNA, which was observed in a recent X-ray crystal structure of RF1 bound to an RC<sup>24</sup>. This contact physically connects the two subunits, is a distinctive feature of an RF1-bound RC, and might therefore have a role in preventing the GS1 → GS2 transition. In the future, mutational analysis of RF1, helix 69 and/or helix 44 will enable testing of this hypothesis.

Our smFRET data demonstrate that the target of RF3(GDP) is a post-hydrolysis, RF1-bound RC that is locked in GS1, and that the intrinsic GS1 → GS2 transition remains suppressed throughout the interaction of the RF1-bound RC with RF3(GDP) and nucleotide-free RF3. Indeed, the GS1 → GS2 transition occurs exclusively upon binding of GTP to RC-bound RF3, which leads to RF1 dissociation and stabilization of GS2 before GTP hydrolysis (Fig. 7c). Our results clearly indicate that the characteristic fluctuations between GS1 and GS2 that are typically triggered by deacylation of the P-site tRNA are specifically regulated throughout the termination pathway. However, the present data do not allow us to temporally resolve GTP binding, the GS1 → GS2 transition and dissociation of RF1. Therefore, at this time we cannot distinguish between the recently proposed model for RF3 function in which binding of GTP to RC-bound RF3 actively drives the GS1 → GS2 transition, indirectly leading to RF1 dissociation<sup>4</sup>, or an alternative model in which binding of GTP to RC-bound RF3 actively drives RF1 dissociation, consequently enabling the GS1 → GS2 transition that can occur spontaneously in the absence of RF1. Future three- and four-wavelength experiments using fluorescently labeled ribosomes, tRNAs and release factors to directly observe the relative timing of GS1 ⇌ GS2 transitions and the binding and dissociation of release factors with single-molecule resolution should allow us to resolve these mechanistic details.

As is the case during elongation and termination, the GS1 ⇌ GS2 equilibrium is specifically regulated, although more subtly, during ribosome recycling. Our smFRET data demonstrate the ability of RRF to shift the GS1 ⇌ GS2 equilibrium toward an RRF-bound GS2

conformation as a function of increasing RRF concentration. This occurs through two mechanisms. At low concentrations, RRF preferentially and transiently binds to GS2, competing directly with the GS2 → GS1 transition and inhibiting  $k_{GS2 \rightarrow GS1}$  in a concentration-dependent manner (Fig. 7d). At high concentrations, RRF can also bind directly to GS1 and modestly increase  $k_{GS1 \rightarrow GS2}$ . Tunable shifting of the GS1 ⇌ GS2 equilibrium toward an RRF-bound PoTC in GS2 sets important constraints for subsequent steps of ribosome recycling. For example, the RRF-bound PoTC in GS2, which presumably serves as the substrate for EF-G-catalyzed splitting of the ribosomal subunits, is a transient species whose fractional population is a sensitive function of RRF concentration. This implies that the efficiency of ribosome recycling will be strongly dependent on RRF concentration, as has been demonstrated *in vitro*<sup>15,41</sup>. These results further suggest that cellular control of RRF concentrations can regulate the efficiency of ribosome recycling *in vivo*, which may be important for reactivating sequestered ribosomes<sup>42</sup> and preventing unscheduled translation reinitiation events<sup>43</sup>.

The ribosome interacts with numerous translation factors throughout protein synthesis, many of which bind at partially overlapping sites and therefore compete for ribosome binding. The organizing principles through which the ribosome coordinates the binding of translation factors, thus avoiding negative interference, remain an open question. Biochemical studies have shown that the absence or presence of a peptide on P-site tRNA regulates the activities of the translational GTPases<sup>44</sup>. Taken together with our previous study<sup>8</sup>, the work presented here reveals an additional level of organizational control in which the absence or presence of a peptide on the P-site tRNA controls a dynamic equilibrium involving coupled tRNA and ribosome dynamics that is uniquely recognized and manipulated by elongation, release and ribosome recycling factors during the elongation, termination and recycling stages of translation (Fig. 7). Thus, given the universally conserved two-subunit architecture of the ribosome and the conserved ability of eukaryotic ribosomes to sample GS1- and GS2-like conformations<sup>45,46</sup>, careful regulation of the GS1 ⇌ GS2 equilibrium probably serves as a universal principle for organizing the binding and biochemical activities of translation factors throughout protein synthesis.

## METHODS

Methods and any associated references are available in the online version of the paper at <http://www.nature.com/nsmb/>.

*Note: Supplementary information is available on the Nature Structural & Molecular Biology website.*

## ACKNOWLEDGMENTS

This work was supported in part by start-up funds to R.L.G. from Columbia University, as well as grants to R.L.G. from the Burroughs Wellcome Fund (CABS 1004856), the US National Science Foundation (MCB 0644262) and the American Cancer Society (RSG GMC-117152). S.H.S. was supported, in part, by the Columbia University Langmuir Scholars Program, and N.P. was supported, in part, by the Columbia University Summer Undergraduate Research Fellowship (SURF) Program. We are indebted to S. Das for managing the Gonzalez laboratory. We thank J. Frank, E. Greene, N. Gao and the members of the Gonzalez laboratory for valuable discussions and for carefully reading the manuscript and providing comments.

## AUTHOR CONTRIBUTIONS

S.H.S. and R.L.G. designed the research; S.H.S. conducted the research, except for the release factor activity assays, which were conducted by S.H.S., N.P. and K.A.M., and purification of RRF, which was conducted by N.P.; J.F. provided L1(Cy5) ribosomes and offered critical discussions related to data analysis and interpretation; S.H.S. and R.L.G. wrote the manuscript; all authors approved the final manuscript.

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## ONLINE METHODS

**Purification of release and ribosome recycling factors.** After cloning genes encoding RF1, RF1 $\Delta$ d1, RF3 and RRF, we overexpressed protein factors in BL21 cells, purified them using Ni<sup>2+</sup>-nitrilotriacetic acid affinity chromatography, and cleaved their hexahistidine affinity tags with TEV protease. We co-overexpressed RF1 and RF1 $\Delta$ d1 with a plasmid-encoded copy of the *P<sub>rmC</sub>* gene, an N5-glutamine methyltransferase known to methylate RF1 at residue Gln235 (refs. 47,48). All purified proteins were greater than 95% pure, as evidenced by SDS-PAGE (**Supplementary Fig. 1a**), and we confirmed their identities by MS. Final protein stocks were stored at -20 °C in translation factor buffer (10 mM Tris-Cl (pH<sub>4</sub> °C = 7.5) 50 mM KCl, 5 mM 2-mercaptoethanol and 50% (v/v) glycerol). Further details regarding cloning, mutagenesis, purification, Cy5 labeling and biochemical activity assays of factors can be found in the **Supplementary Methods**.

**Ribosomal release complex formation and purification.** We prepared RC1 and RC2 in Tris-polymix buffer (50 mM Tris-acetate (pH<sub>25</sub> °C = 7.0), 100 mM KCl, 5 mM ammonium acetate, 0.5 mM calcium acetate, 0.1 mM EDTA, 10 mM 2-mercaptoethanol, 5 mM putrescine and 1 mM spermidine) and 5 mM magnesium acetate in three steps. In the first step, we incubated wild-type (RC1) or L1(Cy5) (RC2) ribosomes with initiation factors, fMet-tRNA<sup>fMet</sup> and an mRNA containing a 3'-biotinylated DNA oligonucleotide pre-annealed to its 5' end (**Supplementary Methods**) to enzymatically form an initiation complex. We then put this initiation complex through one elongation cycle by adding EF-Tu(GTP)Phe-(Cy3)tRNA<sup>Phe</sup> and EF-G(GTP), at which point ribosomes became stalled at the stop codon residing at the third codon position within the mRNA. The efficiency of this elongation step is approximately 95%, as deduced from a standard primer extension inhibition assay<sup>8</sup>. Finally, we separated the resulting ribosomal RCs from free mRNA, translation factors and aa-tRNAs by sucrose density gradient ultracentrifugation in Tris-polymix buffer at 20 mM magnesium acetate, as described<sup>26</sup>.

**Single-molecule experiments.** We performed all experiments at room temperature in Tris-polymix buffer at 15 mM magnesium acetate, supplemented with an oxygen-scavenging system (300  $\mu$ g ml<sup>-1</sup> glucose oxidase, 40  $\mu$ g ml<sup>-1</sup> catalase and 1% (w/v)  $\beta$ -D-glucose). In addition, we added 1 mM 1,3,5,7-cyclooctatetraene (Aldrich) and *p*-nitrobenzyl alcohol (Fluka) to all buffers to quench a long-lived, non-fluorescent triplet state sampled by the Cy5 fluorophore. Previous biochemical experiments have demonstrated that the oxygen-scavenging and triplet-state quencher systems have no effect on our *in vitro* translation system<sup>26,49</sup>.

We cleaned and subsequently derivatized quartz microfluidic flow cells with a mixture of PEG and PEG-biotin to passivate the surface, as described<sup>26</sup>. Just before data acquisition, we treated flow cells with streptavidin, allowing immobilization of ribosomal RCs bound to an mRNA with a 3'-biotinylated DNA oligonucleotide pre-annealed to its 5' end. We collected smFRET data with a wide-field, prism-based total internal reflection fluorescence microscope

using a 150 mW diode-pumped 532 nm laser (CrystaLaser) operating at 24 mW for Cy3 excitation, a 25 mW diode-pumped 643 nm laser (CrystaLaser) operating at 19 mW for direct Cy5 excitation, a Dual-View multichannel imaging system (MAG Biosystems) for separation of Cy3 and Cy5 fluorescence emissions, and a back-thinned charge-coupled device camera (Cascade II, Princeton Instruments) with 2-pixel binning and 50-ms exposure time for detection. We identified single ribosomal RCs by characteristic single-fluorophore fluorescence intensities as well as single-step fluorophore photobleaching.

**Dwell-time analyses.** We determined the rates of GS1  $\rightarrow$  GS2 ( $k_{GS1 \rightarrow GS2}$ ) and GS2  $\rightarrow$  GS1 ( $k_{GS2 \rightarrow GS1}$ ) transitions for each data set as follows. We fit individual, fluctuating smFRET<sub>L1-tRNA</sub> trajectories to a hidden Markov model using the HaMMMy software suite<sup>50</sup> with an initial guess of five states. We filtered the resulting idealized trajectories such that transitions occurring with either a change in FRET of less than 0.1 or lasting only a single frame were discarded. We then extracted dwell times spent in GS1 before undergoing a transition to GS2 and dwell times spent in GS2 before undergoing a transition to GS1 from the idealized smFRET trajectories as follows. For each data set, we plotted one-dimensional smFRET histograms from the first 0.5 s (that is, 10 frames) of all traces and fit with two Gaussian distributions, centered at 0.16 for GS1 and 0.76 for GS2, using Origin 7.0 (OriginLab). We then set thresholds corresponding to the GS1 and GS2 FRET states using the full width at half height of the Gaussian distributions. We plotted one-dimensional histograms of the time spent in GS1 and GS2 before undergoing a transition, and determined the corresponding GS1 and GS2 lifetimes by fitting each histogram to a single-exponential decay. We did not include dwell times resulting from the first and last transitions within each trajectory in this analysis owing to the arbitrary onset of data collection and the stochastic nature of the photobleaching event. We subsequently increased and then decreased the thresholds by 0.03 FRET<sup>8</sup>, and repeated the analyses to test the sensitivity of the calculated lifetimes to the choice of thresholds. We found the sensitivity to threshold values to be minimal, and determined the average lifetime value for each data set using the data obtained from these three sets of thresholds. Finally, we calculated  $k_{GS1 \rightarrow GS2}$  and  $k_{GS2 \rightarrow GS1}$  by taking the inverse of the GS1 and GS2 lifetimes, respectively, and applying corrections to account for premature truncation of fluctuating trajectories caused by photobleaching and the finite nature of the observation time (**Supplementary Methods**).

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